BIRMINGHAM-SHUTTLESWORTH INTERNATIONAL AIRPORT

REQUEST FOR QUALIFICATIONS
FOR
PROFESSIONAL ARCHITECTURAL-ENGINEERING DESIGN CONSULTING SERVICES
For the Rental Car Quick Turn Around (QTA) Facilities Development Project

Birmingham Airport Authority
5900 Airport Highway
Birmingham, Alabama 35212

I. Introduction

The Birmingham Airport Authority (hereafter referred to as the “BAA”) is seeking professional Architectural and Engineering design services from a Consultant Firm and/or team (hereafter referred to as “Respondent”) to plan and design a Quick Turn Around (QTA) facility for each of the rental car tenant agencies/facilities. The Birmingham-Shuttlesworth International Airport serves the five county Metropolitan Areas by providing essential air services for passenger, air cargo and other aviation–related needs. The airport’s parking deck is located directly adjacent to the terminal building, separated by the main entrance roadway. The rental car brands (hereafter referred to as “RAC”) currently operate from counters located inside the airport parking deck on the ground level. The RAC pick-up and return services are also situated on the ground level near the RAC counters, in the parking deck, and therefore require no bus transportation to and from the terminal building.

Purpose of Solicitation

The purpose of this solicitation is to select a firm or team of firms to plan and design rental car QTA building facilities for each individual rental car brand or corporate families here at the airport. Respondents will be expected to assemble a multi-disciplinary team experienced with the development of rental car QTA facilities. Respondents and/or their team disciplines services shall include but not be limited to the full range of professional architectural-engineering planning and design services facilitating in the development and construction of these new QTA buildings' facilities. Services should also cover all essentials, e.g. any and all essential Programming/Needs, Assessments, Alternatives, Design with Cost Estimates, Bid Administration, and Construction Administration. The full-service firms must have the capability to oversee any and all phases of construction. The response should clearly and succinctly outline the firm's ability to develop schematic design documents and conceptual options/alternatives based on existing and any essential additional programming studies. Other task shall also
include user group meetings relative to design and budget, integration of "green building" features where practicable and applicable, with a goal of achieving LEED certification of the Airport’s new Rental Car QTA facilities. Design the QTA facilities to maximize functionality, visually attractiveness, and provide a high level of convenience. Integrate architectural and/or engineering concepts and aesthetic appearance with existing palettes, materials use and other Airport and/or local building requirements. Technical services and capabilities must include Mechanical, Electrical, and Plumbing (MEP) design, Civil Site, Flood Hazard Study Geotech, Environmental Site Assessment, Surveying, ADA Compliance assurance, LEED Certification, Fire Protection, code compliance, waterproofing, landscape architecture, facilities furnishings/interior design, construction administration, cost estimating, resident engineering inspection; project record-keeping, vacation declaration, close-out services, etc.

This project facility design shall include considerations such as:

- Quick Turn Around (QTA) facility, exclusive use and/or common use
  - Vehicle fueling systems
  - Fuel storage
  - Fuel islands
  - Fuel Delivery and Transfer Operations
  - Electric vehicle charging stations
  - Carbon monoxide exhaust systems

- Vehicle wash facilities/systems
  - Wash bays
  - Vehicle wash systems
  - Waste water management systems

- Hazardous materials capture and accountability systems
  - Vacuum stations
  - Vacuum systems
• Exclusive Use Vehicle Light Maintenance Bays
  • Tire change
  • Oil change
  • Oil/waste oil storage
  • Parts and materials storage areas
  • Maintenance Bays
  • Other light maintenance

• Traffic Planning
  • RAC services vehicles access to and from facilities
  • Site development

• Related infrastructure and utilities
  • Address associated infrastructure
  • Underground Treatment/Storage Tanks for Discharge Water

• Security systems
  • Perimeter security
  • Tire Puncture Gate
  • Vehicle security
  • Physical barriers
  • Observation systems

This selection will be made from the firms short-listed by the Authority following the submittal of the Statement of Qualifications (SOQs) due no later than 12:00 noon, Thursday, September 29, 2016, in response to the Authority's Request for Qualifications (RFQ). Selection will be based on the firm's general knowledge, expertise, and experience in Architectural/Engineering Design and Development of such a QTA program for similar RAC facilities.
The Authority will also select a firm based on its ability to provide the services required in preparing and completing the scope, for the General Scope of Work. In general, the selected firm will provide the Authority with the following services:

1. Prepare schematic design documents consisting of drawings and other documents illustrating the scale and relationship of the components of the project.

2. Based upon the approved schematic design document and any adjustments authorized by the Authority and representatives thereof, incorporate the comments and prepare development documents consisting of drawings and other documents to fix and describe the size character of the project, as to the architectural, structural, electrical, civil, and mechanical systems. These documents will also include information on materials and other such elements as may be appropriate, and meet current building code regulations, prepare design development documents to fix and describe the size and character of the entire project.

3. As necessary, administer documentation such as shop drawings, change orders and any other pertinent construction documentation relevant to this project. Review and certify the contractor’s submittals for progress payments and final payment.

4. Visit the site at intervals appropriate to the stage of construction of the individual elements of the project to insure familiarity with the progress and quality of work.

5. Interpret the requirements of the contract documents.

6. Provide Resident Project Representative (RPR) Services to inspect and determine that the work of the contractor is performed in accordance with the requirements of the contract documents for the various elements of the project.

7. Prepare Construction Change Directives (CCD) documentation for the Authority’s approval, and execution as well as, order minor changes in the work without involving an adjustment in the contract sum or an extension of the construction time and which are not inconsistent with the intent of the contract documents.
8. Perform a final inspection to determine if the individual elements of the project have been completed in accordance with the contract documents.

9. Prepare capital and operational cost estimates for the Airport and its financial consultants.

10. Program, plan, and design the Airport QTA building facilities of which provide efficient operations for the individual rental car brands or corporate families at a high degree of stakeholder satisfaction.

11. Incorporate “green building” features where practicable and applicable, with a goal of achieving LEED certification of the Airport’s new QTA facilities.

II. Project Description

The Birmingham Airport Authority (Authority) is seeking Statement of Qualifications (SOQs) from a highly qualified professional Architectural-Engineering Design Consulting Services firm and/or team of firms to provide said services as described in this RFQ.

Project Intent

Consultants responding to this RFQ understand and agree that any resulting contractual relationship is non-exclusive and the Authority reserves the right to contract with more than one Consultant or seek similar or identical services elsewhere if deemed in the best interest of the Authority.

The issuance of this RFQ constitutes only an invitation to present SOQ. The Authority reserves the right to determine, in its sole discretion, whether any aspect of the response satisfactorily meets the criteria established in the RFQ, the right to seek additional information and/or clarification from any firm submitting a response, the right to negotiate with any firms or individuals submitting a response, and the right to reject any or all responses with or without cause. In the event that the RFQ is withdrawn by the Authority for any reason, including but not limited to, the failure of any of those things or events set forth herein to occur, the Authority shall have no liability for any costs or expenses incurred in connections with this RFQ or otherwise.
Project Scope

The scope of work for this project is the completed design including any and all task essential for the development of QTA building facilities for each RAC tenant. This includes all schematic, Design Development, Construction Documents, and closeout phases of the project.

The project is contingent upon funding and property availability and could be undertaken in phases. The Birmingham Airport Authority reserves the right to:

1. Select more than one (1) firm to perform services from among the respondents to this RFQ and to award work among selected firms as the Authority sees fit;

2. Competitively procure services for any portion of the project. Neither this RFQ nor any subsequent agreements shall be construed to guarantee any work for the selected firm or firms;

3. Accept or reject any response or proposal. The Authority may, for any reason, deem it in the best interest of the Authority to withdraw from performing any work, to competitively procure these services in another manner consistent with law, or to perform any work in-house or with other resources after a response is received from any firm;

4. Make a reasonable objection to the use of any individual, subconsultant, subcontractor or supplier, in which event, the Consultant shall use an alternate individual, sub consultant, subcontractor or supplier reasonably acceptable to the Authority.

In order to be considered, firms must be able to demonstrate significant knowledge and experience in providing the type of consulting services requested, and shall have considerable experience with the development, design standards, and construction of Rental Car Quick Turn Around facilities,
Interested firms are invited to indicate their interest in providing the requested services by submitting ten (10) copies of their Statement of Qualifications (SOQ), twenty (20) double sided pages or less, on or before 12:00 p.m. (local time), Thursday, September 29, 2016, to:

Mr. James Ray, P.E., Director  
c/o Mr. Lowrenzo Taylor, Project Manager  
Birmingham Airport Authority  
Department of Engineering & Development  
Birmingham Airport Authority  
5900 Airport Highway  
Birmingham, Alabama 35212

The Consultant shall provide Basic Services which includes and required Special Services. During the preliminary phase of the project, the consultant shall perform the following:

1. Meet with local, State, and Federal Agencies as required

2. Provide a preliminary evaluation of the elements of the project and the budget requirements.

3. Review with the Authority any alternate approaches to the design and construction of the project.

4. Prepare schematic design documents consisting of drawings and other documents illustrating the scale and relationship of the components of the project.

5. Submit statement of probable construction costs for the project.

6. Based upon the approved schematic design document and any adjustments authorized by the Authority, prepare design development documents to fix and describe the size and character of the entire project.

7. Submit revised statement probable cost.
During the design development phase of the project, the consultant shall:

1. Prepare construction documents consisting of drawings and specifications setting forth detailed requirements for the construction of the project.

2. Collect all engineering data, conduct all field investigations, perform all geotechnical work, and perform other studies necessary.

3. Assist the Authority in preparation of necessary bidding information, bidding forms, the Conditions of the Contract, and the form of Agreement between the Authority and the Contractor or the selection of a Construction Manager at Risk (CMR).

4. Advise the Authority of any adjustments to previous statements of probable construction cost indicated by changes in requirements or general market conditions.

5. Assist the Authority in connection with the Authority’s responsibility for filing documents required for the approval of governmental authorities having jurisdiction over the project.

During the bidding and negotiation phase of the project, the consultant shall:

1. Participate in a Pre-Bid Conference, receive comments, and prepare and distribute addenda to revise plans, specifications, and contract documents.

2. Conduct a bid analysis and make recommendations to the Authority for the award of contracts or rejection of bids.

During the construction phase of the project, the Consultant shall:

1. Advise and consult with the Authority during the construction.

2. Participate in a Preconstruction Conference.

3. Assist in the review and certification the Contractor’s or CMR submittals for progress payments and final payment.

4. Visit the site at intervals appropriate to the stage of construction of the individual elements of the program to insure familiarity with the progress and quality of work.
5. Interpret the requirements of the contract documents.

6. Provide design clarification.

7. Provide Resident Project Representative Services to inspect and determine that the work of the contractor is performed in accordance with the requirements of the contract documents for the various elements of the project.

8. Prepare change orders for the Authority's approval and execution and order minor changes in the work which does not involve an adjustment in the contract sum or an extension of the construction time and which are not inconsistent with the intent of the contract documents.

9. Perform a final inspection to determine if the individual elements of the project have been completed in accordance with the contract documents.

10. Provide reproducible drawings and AutoCAD files of each project's record drawings as corrected to show changes in the work during the construction of the individual project.

Offers in response to the Request for Qualifications, hereafter referred to as “RFQ” are subject to the requirements in Section III, Submission Requirements are as follows:

III. SUBMISSION REQUIREMENTS

It is the intention of the Authority to execute a contract with one “prime” consultant, hereinafter referred to as “Submitting Firm.” The Submitting Firm will be held solely responsible for the execution of the entire project. It is expected that the Submitting Firm may desire or need the services of sub consultants to undertake various elements of the project and to satisfy DBE requirements. In the events that Sub Consultants are anticipated, the RFQ submittal shall identify the proposed Sub Consultants and the specific work elements each will be responsible for completing.

The Submitting Firm shall be required to provide all professional services as may be necessary to accomplish the work.
The Submitting Firm must not only demonstrate its abilities to provide the above services, it must also include in its response the following information:

A. **Contacts**

Name, address, telephone number, e-mail, and facsimile number of the company that will bear primary responsibility for the entire project (the “Submitting Firm”).

B. **Company Description/Background**

A general description of the Submitting Firm and any sub consultants that will profile the company’s history, specialties, years of experience, etc. A profile must also be provided for each separate consultant or Sub Consultant.

C. **Understanding of the Project**

A statement indicating an understanding of the requirements for the development of the QTA facility.

D. **References**

A list of references for the Submitting Firm and any sub consultants involved in the project for similar work performed at other airports.

E. **Disadvantaged Business Enterprise (DBE) Participation**

The Submitting Firm shall provide evidence of its ability to meet the necessary DBE requirements as outlined in Attachment “A”
IV. EVALUATION CRITERIA

The evaluation criteria which will be used in the selection of the consultant team are as follows:

Section A. Prior Experience on Similar Projects (0 to 55 Pts.)

Experience of firm and team having performed within the past five years, or currently performing similar type Rental Car QTA Facilities Development projects service work for property at airports of similar size and complexity.

Experience of firm and team having performed within the past five years, or currently performing similar type work for Rental Car QTA Facilities Development project services on property at airports of a larger size and complexity.

Experience of firm and team having performed within five years, or currently performing similar type work for municipal, institutional, academic, private, military or federal agencies, companies and/or organizations.

Experience within past five years on projects with demonstrated success in coordinating and involving multiple Stakeholders for the project.

Demonstrated experience within five years on similar projects resulting in the success in meeting, factoring in, and complying with all local, state and federal agencies.

Cite experience within past five years of firm and teams success in fulfilling the requirement of this RFQ's scope of services in concurrence with all time constraints.

Indicate firm/team's previous experience in the developments of a comprehensive budget model for the project, and the success of such models when utilized by the client.

Firm/team's history of maintaining a stable and dependable Workforce for the duration of the project.

History of firm/team's commitment to keeping contract escalations and modifications to a minimum.
Cite firm and team's history in assembling professional architectural, engineering, environmental, and/or a group or team on previous similar type projects.

Section B. Availability of Key Personnel (0 to 10 pts.)

Indicate experience of Key personnel in managing similar projects for airport, municipalities, and other institutions and/or organizations for the past five years.

Indicate communications skills and ability to effectively relate and present to a vast complexity of audience.

Indicate the level of knowledge of Key Personnel related to the involvement of multiple Stakeholders (City, County, Federal, Community, Regulatory, and grass roots) in matters related to incorporated and enfranchising ideas and recommendations for the project.

Cite the number of successful projects Key Personnel have been involved over the past five years.

Commitment of firm and team to maintaining Key Personnel for the duration of the project.

Section C. Area of Participation of Team (Select One Only) (0 to 5 pts)

If 50% or more of the work will be performed within the City of Birmingham.

If 30% to 49% of the work will be performed within the Metropolitan area of the City of Birmingham.

If 16 to 29% of the work will be performed within the State of Alabama.

If less than 15% of the work will be performed within the Southern Region of the United States.
Section D.  DBE Participation (Select Only One) (0 to 20 pts)

If 70% or more of the funds will be received by DBE firms for work performed.

If 50 to 69% of the funds will be received by DBE firms for work performed.

If 30% to 49% of the funds to be received by DBE firms for work performed.

If 0 to 29% of the funds will be received by DBE firms for work performed.

Section E.  Previous Working Relationship of Firms (Select Only One)
(0 to 6 pts)

Joint Venture on similar projects

Joint Venture on other non-similar projects

Consultant/Sub Consultant on more than one project

Consultant/Sub Consultant one project

Key members of more than one firm have previously worked with second firm.

Section F.  Computer Capabilities of the Firm/Team (Select Only One)
(0 to 4 pts)

For Auto-Cad System with most current Release capability.

For any other CAD System.

The firm selected by the Birmingham Airport Authority to perform these Professional services will enter into a non-exclusive agreement with the Authority, and the Authority shall have the right to contract for all or any portion of the project with the selected firm or team of firms, contingent upon availability of funds.
The Authority intends to certify from those firms or team of firms submitting a response to this solicitation, a list of those firms, which it considers, qualified. The Authority, pursuant to its procedures and consistent with prevailing legal statute, will then select from the list of qualified firms, or team of firms; a shortlist of firms from which the Birmingham Airport Authority will make a final selection.

Under no circumstances, however, is the Authority obligated to award this project to any of the firms or team of firms responding to this Request for Qualifications; and further, the Birmingham Airport Authority reserves the right to reject any and all responses to this solicitation.

V. PROCEDURES

A. Procurement of RFQ Documents

Starting, September 12, 2016, a Request for Qualifications (RFQ) package may be accessed or downloaded from the Birmingham Airport Authority’s web site address listed below:

www.flybirmingham.com/about-bhm/birmingham-airport-authority

“Doing Business at BHM”

B. Submission of Qualification Statement

Submitting Firm must send ten (10) hard copies and one (1) electronic copy (CD) of the Statement of Qualifications containing not more than 20 single pages (40 pages both sides), and bounded with spiral/combs binding. Statements must be submitted in a sealed container(s) labeled “Qualification Statements for Professional Architect-Engineering Design Consulting Services for the Rental Car Quick Turn Around (QTA) Facilities Development Project” to:

Mr. James Ray, P.E., Director
Engineering & Development
c/o Mr. Lowrenzo Taylor, Project Manager
Birmingham - Shuttlesworth International Airport
5900 Airport Highway
Birmingham, AL 35212
Responses to this solicitation received after 12:00 noon, Thursday, September 29, 2016 will be returned unopened. The risk of the delivery shall be the sole and absolute responsibility of the proposing entity.

C. Proposal

A proposal cost summary shall be submitted at the oral presentation. The proposal cost summary shall be broken down into two categories, i.e. Basic Services and Special Services (other firms assisting in the effort). Price proposals are not to be submitted at this time.

D. Contact and Questions

The principal contact regarding all aspects of this RFQ is Mr. Lowrenzo Taylor, Project Manager, Engineering & Development, at the email address identified below:

ltaylor@flybirmingham.com

Questions, via Email pertaining to this RFQ will be entertained by the Authority until end of business day, Thursday, September 22, 2016 local time.

All questions or request for clarification will be considered for response at the sole discretion of the Authority. Questions must be submitted via email to the attention of Mr. Lowrenzo Taylor, Project Manager, at the address listed above.

Oral explanations and/or instructions shall not be considered binding on the Authority.

E. Pre-Submittal Conference

A pre-submittal conference will be held on Thursday, September 15, 2016, 2:00 p.m., local time in Meeting Room B located on the lower level of the terminal building near the Birmingham Police Department Substation at the Birmingham – Shuttlesworth International Airport.
Attendance at this conference is **highly encouraged** to any and all firms planning to participate in the submittal process for this project.

The purpose of this meeting is to provide an opportunity for those interested parties to present their questions regarding the Request for Qualifications (RFQ).

**F. Selection Process**

The Authority intends to certify from those Submitting Firms responding to this solicitation, a short list of firms based solely on their statement of qualifications. A review committee will review and evaluate the responses, and submit a list of responsive firms to the Board for oral presentations (may be optional). The presentations will address only specifics of the proposed project. Evaluations shall be based on criteria outlined in Section IV, Evaluation Criteria, and according to the Submitting Firm's compliance with the submission requirements as outlined in Section III, Submission Requirements. The Authority retains the right to waive any absolute discretion.

Proposing entities are encouraged to supplement their proposals with any additional and/or miscellaneous information that they would deem relevant for consideration by the Authority in evaluating the overall quality of their proposal and/or their ability, experience, and background in performing the scope of services as requested herein. Short listed Submitting Firms may also be requested to participate in an oral interview and/or presentation process on the anticipated date identified to be determined via the Authority. Guidelines for this oral interview/presentation process will be provided at a later date.

A Fee Proposal will be submitted at the oral presentation **in a sealed envelope** labeled “Statement of Qualifications for the Professional Architectural-Engineering Design Consulting Services for the Rental Car Quick Turn Around (QTA) Facilities Development Project”, Birmingham-Shuttlesworth International Airport, with the name of the Submitting Firm or team of firms, to Mr. James Ray, P.E., Director, c/o Mr. Lorenzo Taylor, Project Manager, Engineering and Development, Birmingham Airport Authority, 5900 Airport Highway, Birmingham, Alabama 35212.

Only proposals of those Short Listed Firms that meet the qualifications will be retained. The envelope will be opened only during negotiations with
the successful firm or team of firms. The unsuccessful firms or team of firm’s proposal will be returned unopened.

Under no circumstances, however, is the Authority obligated to award the project to any of the firms responding to this Request for Qualifications; and further, the Authority reserves the right to reject any and/or all responses to this solicitation.

G. Summary Timetable of RFQ and Related Events

Request for Statements of Qualification

Issued Thursday, September 1, 2016
Pre-Submittal Conference Thursday, September 15, 2016
Question Submission Deadline Thursday, September 22, 2016
Submittal Deadline Delivery Thursday, September 29, 2016
Oral Interviews/Presentations TBA
Consultant Price Negotiations the Week of TBA
Award of Contract TBA

The Authority reserves the right to extend or otherwise modify the above timetable. The Submitting Firms that are participating in the process at the time of a change in the timetable, if it occurs, will be notified in advance of such change, if any.

H. Rights and Privileges of the Authority

This is a Request for Statements of Qualifications. This is not an offer, contract, or an invitation for bids. The submission of a qualification statement in response to this process constitutes an invitation to negotiate with the Authority and is not a bid. The submission of a qualification statement in response to this process does not impose any legal obligations upon either the Authority or the person submitting the statement, nor does it create any contractual or quasi-contractual relationship between them.
The Authority reserves the right to reject or disregard any or all qualification statements, to negotiate with any or all persons submitting statements and/or to enter a contract or contracts with any person or persons for any or all of the services described herein.

The Authority is not obligated to respond to any statement or is it legally bound in any manner whatever by the submission of a statement pursuant to this published process.

Any and all Statements as submitted herein are subject to further negotiation at the option of the Authority.

Further, any and all agreements arising out of these statements and negotiations shall not be binding or valid against the Authority, its departments, officers, employees, or agents unless authorized and signed by at least a majority of the Board of Directors for the Birmingham Airport Authority in accordance with applicable laws.

The RFQ has been prepared solely for information purposes only and does not commit the Authority to pay for any costs incurred in the preparation of a Statement made in response of this RFQ or for any costs incurred prior to the formal ratification of a final and formal agreement. This RFQ is subject to errors, omissions, modifications, withdrawal, or cancellation without notice.

I. SUMMARY

All Statements of Qualifications shall become the property of the Authority. Only those firms responding to this solicitation and having tendered their Statement of Qualifications which meet the requirements herein specified will be considered for the service contemplated regardless of prior contract with the Birmingham Airport Authority, or other agencies, departments or personnel.

By: __________________________
    James Ray, P.E., Director
    Engineering & Development
    Birmingham Airport Authority

Date: 9-8-2016
ATTACHMENT “A”

Disadvantaged Business Enterprise (DBE) Program

A. **Policy:** It is the policy of the Birmingham Airport Authority (Authority) that DBEs as defined in 49 CFR Part 26 will have an opportunity to participate in the performance of all Authority projects and the Proposer will take all necessary and reasonable steps to ensure that DBEs have the opportunity to compete for and perform subcontracts. Proposers will demonstrate that they will subcontract with certified DBEs, or clearly demonstrate in a manner acceptable to the Authority its good faith efforts to obtain DBE subcontractors. The successful Proposer’s DBE commitment as stated on their Letter(s) of Intent will be incorporated into the contract and will be enforceable under the terms of the contract.

Proposers are encouraged to refer to the Authority’s DBE Program and Policy which is posted on the Authority’s website: www.flybirmingham.com. Proposers should refer to the directory of DBE companies certified and located at www.flybirmingham.com. Additional assistance may be obtained by calling the Authority’s Properties and Senior DBE Manager at 205-599-0522.

B. **DBE Obligation:** Each contract the Authority executes with the Proposer and each subcontract the Proposer executes with a subcontractor, must include the following clause:

“The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the Birmingham Airport Authority deems appropriate, which may include, but is not limited to: (1) Withholding monthly progress payments; (2) Assessing sanctions; (3) Liquidated damages; and/or (4) Disqualifying the contractor from future bidding as non-responsible”.

C. **Certification of Eligible DBEs:** To ensure the eligibility of DBEs proposed to participate on the contract, all DBEs must be certified by the Authority or another agency within the Alabama Unified Certification Program at the time of the bid opening date. Certification applications may be found on the Authority’s website at www.flybirmingham.com, under the DBE information section or at the Authority’s office. **DBEs must be certified with the Authority at the time Proposals are received.**
Any firm having questions regarding its eligibility as a DBE should contact the Properties and Senior DBE Manager regarding certification requirements at 205-599-0522.

D. **DBE Goals:** DBE Goals are established for each specific prime contract with subcontracting opportunities.

The Proposer will subcontract with DBE's certified by the Authority at least 16% of the dollar value of the Prime Contract. Only DBEs certified with the Authority will count toward the Contract Goal. In accordance with the Authority's policy, "A recipient may count expenditures of materials and supplies obtained from a DBE Manufacturer at 100% of the cost of the materials or supplies toward DBE Goal." In accordance with the Authority's policy, "A recipient or Contractor may count toward its DBE Goal 60% of its expenditures for materials and supplies required under a Contract and obtained from a DBE regular dealer."

In the event that the Proposer for this solicitation qualifies as an eligible DBE, the Contract Goal will be deemed to have been met, if the Proposer performs at least the prescribed DBE Contract Goal percentage of (16%) the Work with its own forces. The Proposer will be required to submit information concerning those DBEs that will participate in this Contract at the time its Proposal is submitted to the Authority.

The information will include the names and addresses of each DBE, description of the Work to be performed by each named DBE firm, Sub Contract the dollar amount of said Work and percent of the Contract, written documentation of the Proposer’s commitment to use a DBE subcontractor whose participation it submits to meet a contract Goal, and written confirmation from the DBE subcontractor that it will be participating in the Contract provided in the Prime Proposer’s Proposal. Failure of the Proposer to submit the required DBE information in its Proposal may render the Proposal non-responsive. If the Proposer fails to achieve the Goal stated herein, the Proposer will be required to provide documentation demonstrating that the Proposer made “Good Faith Efforts” in attempting to do so.

E. **Proposer Efforts to Meet DBE Subcontract Goals:**

1. In all contracts, the successful Proposer will satisfy the Authority that it has made “Good Faith Efforts” to utilize DBEs in meeting the established DBE Goal. “Good Faith Efforts” are those efforts that could reasonably be expected to result in DBE Goal attainment by a Proposer who aggressively and actively seeks to obtain DBE participation. Efforts that are merely “Pro Forma” are not “Good Faith Efforts” to meet DBE Goals.
2. The Authority will require Proposers to submit documents that show that they obtained enough participation from certified DBEs to meet the DBE Goals. Failure to provide such information may render the Proposal non-responsive. However, the Authority reserves the right to require such additional and supplemental information solely for the purpose of clarifying the DBE information submitted by the Proposer. The initial good faith determinations for the Authority will be the Properties and Senior DBE Manager.

F. **Proposer's DBE Assurance:** Each Proposer will submit written assurance of its ability to meet the prescribed Goal in its Proposal. Proposers may be considered non-responsive and may be ineligible for award of Contract if their Proposal does not contain this Assurance. The Proposer agrees to indemnify the Authority from the loss of any funds or other damages that may result from Proposer's failure to achieve the DBE Goal set forth herein or to establish a good faith effort to do so, including attorney's fees and costs associated with said failure by Proposer or good faith investigation by Authority. Failure of Proposer to achieve the DBE Goal as Proposal without showing a "Good Faith Effort" to do so will be considered a material breach of the Contract. The determination of whether Proposer's efforts were made in "Good Faith" will be made by Authority.
ATTACHMENT 1

********** DBE LETTER OF INTENT **********

Failure to complete this statement may be grounds for rejection of Bid.

Name of Prime Bidder's Firm: ________________________________

Address: ________________________________________________

City: ___________________ State: __________________ Zip: ______

Telephone: ___________________ Email: __________________

Prime Bidder's Contract Amount: $_________________________

Percentage of Contract Amount performed by Prime Bidder: __________ %

Name of DBE Firm: _______________________________________

Address: ________________________________________________

City: ___________________ State: __________________ Zip: ______

Telephone: ___________________ Email: __________________

Prime Bidder's Contract Amount: $_________________________

Identity of DBE (e.g. Hispanic, American Indian, Black, Female, etc.) __________________

Check the appropriate box if the DBE is a material supplier:

_____ Materials and supplies obtained from a DBE Manufacturer (counts as 100% towards goal)

_____ Materials and supplies obtained from a DBE Regular Dealer (counts as 60% towards goal)

Description of work to be performed by DBE firm:

_________________________________________________________________________________

_________________________________________________________________________________

Amount of Subcontract: $_______________________________

Subcontract Percentage of Prime Bidder's Contract Amount: __________ %

COMMITMENT

The Prime Bidder is committed to utilizing the above-named DBE firm for the work described above:

By: _______________ Print Name: __________________ Date: _______________

______________________________________________________________
(Signature of Authorized Representative) (Title) (Name of DBE Firm)

AFFIRMATION

The above-named DBE firm affirms that it will perform the portion of the contract for the estimated dollar value as stated above.

By: _______________ Print Name: __________________ Date: _______________

______________________________________________________________
(Signature of Authorized DBE Representative) (Title) (Name of DBE Firm)

If the Prime Bidder does not receive award of the prime contract, any and all representations in this Letter of Intent will be null and void.